Guarantee Cancellation - Islamic User Guide

Oracle Banking Trade Finance Process Management

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Oracle Banking Trade Finance Process Management - Guarantee Cancellation - Islamic User Guide
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Contents

1. Preface

1.1   Introduction

This user manual is designed to help you quickly get acquainted with Guarantee Cancellation -Islamic process in Oracle Banking Trade Finance Process Management.

1.2   Audience

This manual is intended for the following User/User Roles:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

1.3   Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc.

Access to Oracle Support

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info or visit http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs if you are hearing impaired.

1.4   Organization

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

1.5   Related Documents

- Getting Started User Guide
- Common Core User Guide

1.6   Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry

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standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

1.7 Conventions

The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.
italic	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

1.8 Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

1.9 Glossary of Icons

This User Manual may refer to all or some of the following icons.

Icons	Function
✕	Exit
+	Add row
—	Delete row
Q	Option List

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2. Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

2.1 Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

2.2 Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

2.3 Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

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3. Guarantee Cancellation - Islamic

As part of Conventional Guarantee Issuance Cancel, System enables the user to cancel the Guarantee which had been already issued.

The various stages involved for Guarantee Issuance Cancel are:

- Receive and verify documents and Input basic details (Non Online)- Registration stage
- Upload of related mandatory and non-mandatory documents
- Input/Modify details of Cancel of Guarantee (No Online/Online Channel) - Data Enrichment stage
- Capture remarks for other users to check and act
- Hand off request to back office

The design, development and functionality of the Islamic Guarantee Issuance Cancel process flow is similar to that of conventional Guarantee Issuance Cancel process flow.

This section contains the following topics:

3.1   Common Initiation Stage

The user can initiate the new Islamic Guarantee Cancellation request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.

2. Click **Trade Finance > Initiate Task.**

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Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

3.1.0.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

3.2 Registration
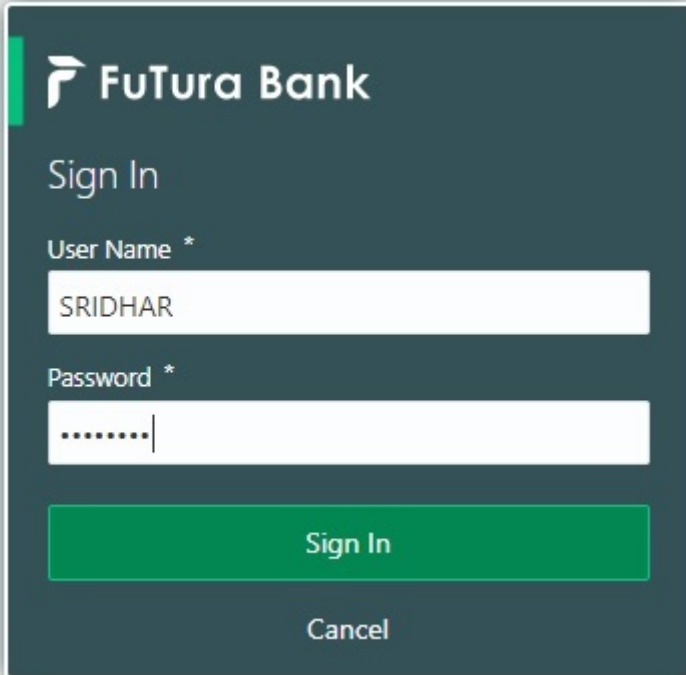
The user can register request for Islamic Guarantee Issuance Cancellation received at the front desk (as an application received physically/received by mail/fax). The first stage of Islamic Guarantee Cancellation process starts from the Registration Stage. During Registration stage, user captures the basic details the Cancel application, check the signature of the applicant and upload related documents.   On submit of the Cancel request, the customer should be notified with acknowledgment and the request should be available for the Guarantee expert to handle in the next stage.

The OBTFPM user can process MT798 with sub messages MT726-MT759 message received through SWIFT. The OBTFPM verifies the field 21 and 26E (of the MT759 and identifies the Original Contract Reference Number and Amendment Number and invokes the process. The user can cancel the previously received MT798 referenced message which is under process.

The OBTFPM user can process incoming MT798(up to a maximum of 8 messages) with sub messages MT788-MT799 message received through SWIFT and enables the user to cancel the previously received MT798 referenced message which is under process.

The user has the option to submit, hold, save and hold and cancel the application

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1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

3. Click **Trade Finance - Islamic > Bank Guarantee Issuance > Guarantee Cancellation - Islamic**.
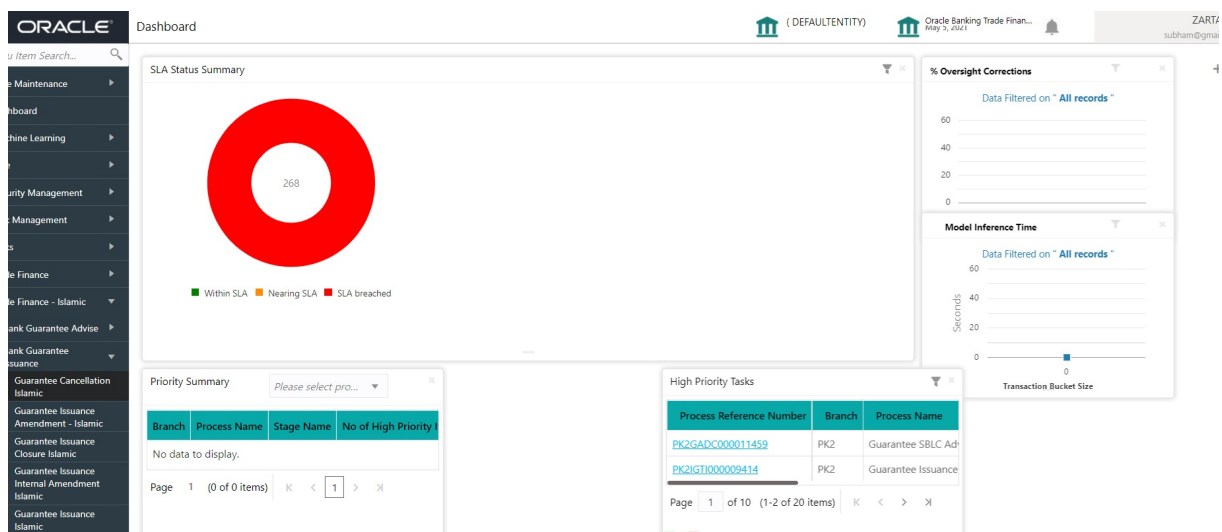
The Registration stage has two sections Application Details and SBLC/ Guarantee Details. Let's look at the details of Registration screens below:

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3.2.1 Application Details

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
SBLC/Guarantee Number	The user can input the Undertaking Number of the Guarantee to be canceled. Alternatively, user can search the undertaking number using LOV.	
Received From Party	Read only field. System will default the name of the party as available in Guarantee.	
Received From - Customer ID	Read only field. Customer ID will be auto-populated from Guarantee /SBLC Issuance.	001345
Received From - Customer Name	Read only field. Applicant Name will be auto-populated from Guarantee /SBLC Issuance.	
Branch	Read only field. Branch Name will be auto-populated from Guarantee details. **Note** Once the request is submitted, Branch field is non-editable.	

Field	Description	Sample Values
Priority	System will default the Priority as Low/Medium/. High based on maintenance. If no priority is maintained, system defaults the priority as Medium. The user can change the priority.	High
Submission Mode	Submission mode of GuaranteeCancellation request. By default the submission mode will have the value as 'Desk'. **Desk-** Request received through Desk **Email -** Request received through Email **Courier-** Request received through Courier The user can change the submission mode.	Desk
Process Reference Number	Unique sequence reference number for the transaction. This is auto generated by the system.	203GTEISS000 001134
Cancellation Date	By default, the application will display branch's current date. User can change the date to back date or future date.	
Amendment Number	Read only field. Amendment number will be auto-populated based on the system maintenance. Amendment number increases by 1 for each amendment.	
Customer Reference Number	User can enter the 'Reference number' provided by the applicant/applicant bank. Enables the user to provide a unique Customer Reference Number for the cancellation.	
Related Reference	Related reference number will be auto-populated based on the system maintenance	
Beneficiary Consent Required	**Toggle on**: Beneficiary consent required for cancellation. **Toggle off**: Switch off the toggle if beneficiary consent is not required for cancellation.	

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3.2.2 Guarantee Details

Registration user can provide Guarantee details in this section. Alternately, guarantee details can be provided by Scrutiny user.

Provide the Guarantee Details based on the description in the following table:

Field	Description	Sample Values
Form of Undertaking	Read only field. Form of Undertaking defaults from Guarantee.	
Product Code	Read only field. This field displays the product code defaulted from Guarantee.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Undertaking Amount	System defaults the outstanding value available in Guarantee.	
Amount In Local Currency	System fetches the local currency equivalent value for the transaction amount from back office (with decimal places).	
Purpose of Message	Read only field. Purpose of message defaults from Guarantee.	
File Identification	Read Only Field. System will default the value available in Guarantee.	
Narrative	Read Only Field. System defaults the value available in Guarantee.	
Expiry Type	Select the expiry type. By default the system displays the expiry date as maintained in Issuance.	
Date of Expiry	Provide the expiry date of the Guarantee.	
Expiry Condition/ Event	Read only field.	

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Field	Description	Sample Values
Applicable Rules	Read only field. This field displays the rules of the Guarantee.	
Narrative	System defaults the value available in Guarantee.	
Applicant Bank	Read only field. This system defaults the applicant bank name available in guarantee.	
Applicant	Read only field. This system defaults the value available in Guarantee.	
Beneficiary	Read only field. This field displays the beneficiary details of the selected Guarantee and user can amend if required.	
Advising Bank	Read only field. This field displays the details of the advising bank.	
Advising Bank Reference	Read only field. This field displays advising bank reference if available.	
Advice Through Bank	Read only field. System defaults the value available in Guarantee.	
Advising Through Bank Reference	Read only field. This field displays advising bank reference if available.	
Counter SBLC/Guarantee Issuing Bank	Read only field. System defaults the value available in Guarantee.	
Counter Guarantee Issuing Bank Reference	Read only field. System defaults the value available in Guarantee.	
Local SBLC/Guarantee Issuing Bank	Read only field. System defaults the value available in Guarantee.	
Local Guarantee Issuing Bank Reference	Read only field. System defaults the value available in Guarantee.	
Additional Amounts	Additional Amount Covered as per the latest LC details is displayed.	
Accountee	Read only field. System defaults the accountee name available in guarantee.	

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Documents and Checklist: Documents:

Non- Online: The user has to upload all the mandatory documents required by the system to proceed for the guarantee cancellation application.If mandatory documents are not uploaded, system should display an error on submit.

The possible documents submitted under an Guarantee/SBLC Cancellation request are:

Guarantee/SBLC Cancellation Request

Checklist: Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.

Verify Signature: System will display the details of Authorized signatories. The pop up box will display the signature id, signature title and image of the signature for verification.

3.2.2.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Signature	Click the Signature button to verify the signature of the customer/ bank if required.
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.
	If more than one signature is available, system should display all the signatures.
Documents	The user can upload the documents.
Remarks	The user can provide any additional information regarding the Guarantee cancellation. This information can be viewed by the users in other stages of the process.
Customer Instructions	Click to view/ input the following ● **Standard Instructions** – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● **Transaction Level Instructions** – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.

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Field	Description
Cancel	Cancels the Guarantee cancellation Registration stage inputs and system should clear the details captured in the screen. The task will get deleted.
Save and Close	Save the information provided and displays the task in you queue for working later. This option will not submit the request
Submit	Task will get moved to next logical stage of Guarantee Cancellation. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.

3.2.3   Bi-Directional Flow for Offline Transactions Initiated from OBTFPM

This topic provides the systematic instructions to initiate the Bi-Directional Flow for Offline Transactions Initiated from OBTFPM.

Offline Transactions means those transactions which are not initiated by OBDX, but are initiated directly by the bank user in OBTFPM upon request received from the customer.

Pre- Conditions:

- Customer Maintenance details are replicated from OBTF to OBTFPM.
- Task is initiated in OBTFPM, Customer ID is captured/populated and Process Reference Number is generated.

1. Customer Maintenance details are replicated from OBTF to OBTFPM.

2. In OBTFPM, user clicks Request Clarification, the system checks if the request is initiated from OBDX by validating the value available in the submission mode field is "Online".

3. In case submission mode is "Online", the user can enter the clarification details in "Clarification Required" placeholder.In case submission mode is not "Online", the system will validates if the counterparty is a OBDX customer by checking the flag "Trade Finance Portal" in the Customer Maintenance table replicated from OBTF. In this case, the user can submit clarification.

4. In case submission mode is not "Online", and if the "Trade Finance Portal" flag is set to 'No' in Customer Maintenance Table, the system should display the error message that 'The customer is not subscribed to Trade Finance Portal'. Once the request is submitted, the Request Clarification functionality would be applicable to offline initiated transactions also.

3.3   Data Enrichment
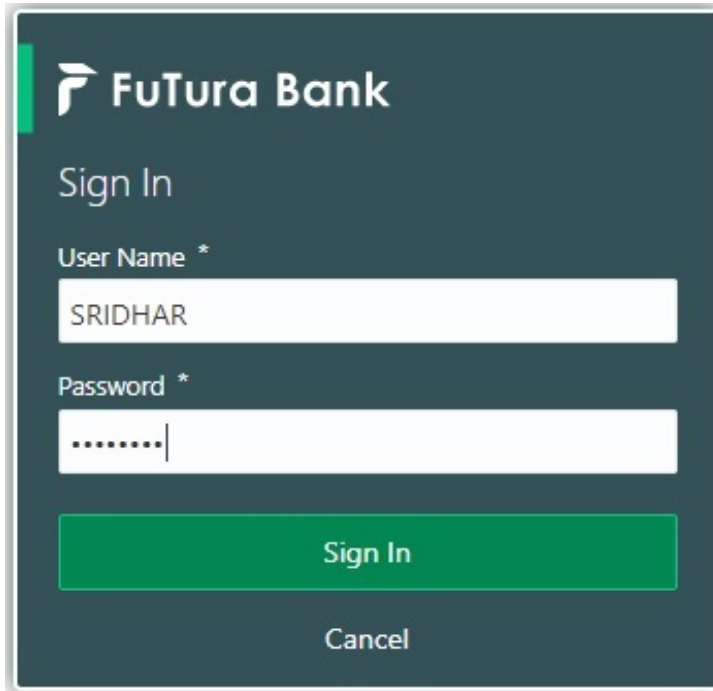
As part of Data Enrichment, user can register the Guarantee Cancellation request received from the Issuing Bank. User can enter and update the basic details of the incoming request. If the request is received by mail/Courier, the user should be able to update the request. In case the message is received by SWIFT, then the cancellation task needs to be auto created and available for the user to handle.

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Note

For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".
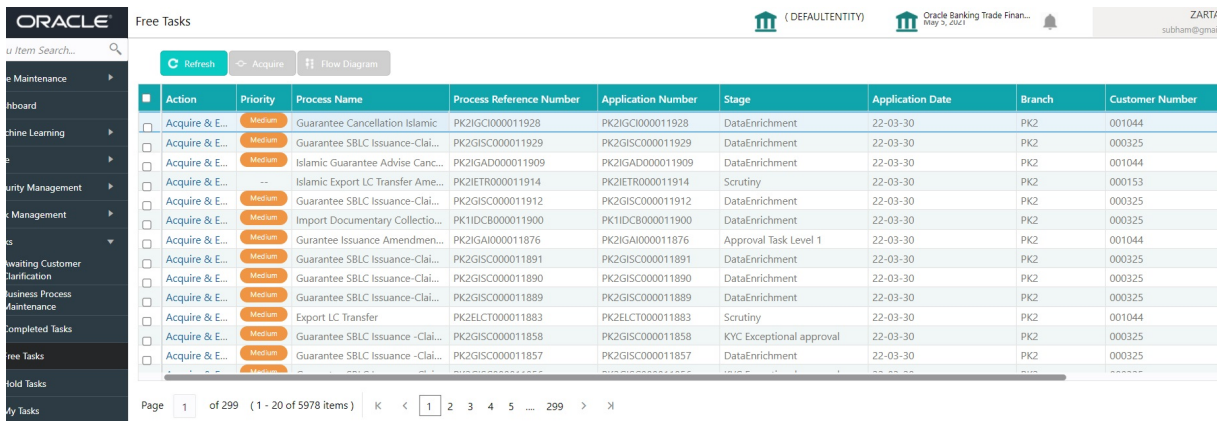
Do the following steps to acquire a task at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

3. Click **Trade Finance> Tasks> Free Tasks.**

4. Select the appropriate cancellation task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task.

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

The Guarantee Cancellation - Data Enrichment stage has sections as follows:

- Main Details
- Acknowledgment Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Guarantee Cancellation - Data Enrichment stage.

User can enter/update the following fields. Some of the fields that are already having value from registration/online channels may not be editable.

3.3.1   Main Details

Main details section has three sub section as follows:

- Application Details
- SBLC/ Guarantee Details

3.3.1.1 Application Details

Refer to Registration for more information of the fields.

3.3.1.2 SBLC/ Guarantee Details

The fields listed under this section are same as the fields listed under the SBLC Guarantee Details section in Registration. During Registration, if user has not captured input, then user can capture the details in this section.

3.3.1.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.

Field	Description
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.
Overrides	Click to view overrides, if any.
Customer Instructions	Click to view/ input the following ● **Standard Instructions** – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● **Transaction Level Instructions** – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system and the task may get terminated or moved to Reject Approval Stage.

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Field	Description
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others
Hold	The details provided will be registered and status will be on hold.
Cancel	Cancels the details captured in the screen. The task will get deleted.
Save and Close	User will save the information provided and close the details captured. This option will not submit the request.
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

3.3.2 Acknowledgement Details

At this stage user can verify the acknowledgment details Data Segment of the Guarantee Issuance Cancel request. This Acknowledgment related section is applicable only for Counter Issuing bank and Local issuing bank.

Field	Description	Sample Values
Acknowledgment Details		
MT 768 Guarantee Acknowledgment		
(This is applicable in case of Counter Guarantee/Counter Counter Guarantee Issuing Bank)		
Issuing Bank Reference	Provide the value for issuing bank reference.	
Account Identification	Provide the values for account identification.	
Date of Acknowledgement	System defaults the current system date as the date of message acknowledgment. The user can change the date.	
Amount of Charges	Provide the values for the amount of charges and select the currency.	
Account with Bank	User can enter or click **Search** to search and select the account with bank details.	
Charges	Provide the details of charges if applicable.	
Sender to Receiver Information	Provide sender to receiver details if applicable.	
Narrative	Provide the narrative.	

3.3.2.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.

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Field	Description
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.
Overrides	Click to view overrides, if any.
Customer Instructions	Click to view/ input the following ● **Standard Instructions** – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● **Transaction Level Instructions** – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.

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Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. The reject codes are: - R1- Documents missing - R2- Signature Missing - R3- Input Error - R4- Insufficient Balance- Limits - R5 - Others. The user would be able to select a Reject code and give a Reject Description. Other users should be able to see the reject reason in remarks window throughout the process.
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: - R1- Documents missing - R2- Signature Missing - R3- Input Error - R4- Insufficient Balance- Limits - R5 - Others
Hold	The details provided will be registered and status will be on hold.
Cancel	Cancels the details captured in the screen. The task will get deleted.
Save and Close	User will save the information provided and close the details captured. This option will not submit the request.
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.
Back	On click **Back,** user navigates to previous step.

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3.3.3 Additional Fields

This step system defaults the Additional details based on the Additional fields maintained in the system.

3.3.3.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	Click the Documents icon to View/Upload the required documents.
	Application will display the mandatory and optional documents.
	The user can view and input/view application details simultaneously.
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.
Overrides	Click to view overrides, if any.

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Field	Description
Customer Instructions	Click to view/ input the following • **Standard Instructions** – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • **Transaction Level Instructions** – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this place-holder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. The reject codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others. The user would be able to select a Reject code and give a Reject Description. Other users should be able to see the reject reason in remarks window throughout the process.

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Field	Description
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others
Hold	The details provided will be registered and status will be on hold.
Cancel	Cancels the details captured in the screen. The task will get deleted.
Save and Close	User will save the information provided and close the details captured. This option will not submit the request.
Back	On click **Back,** user navigates to previous step.
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

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3.3.4 Advices

A Data Enrichment user can verify the advices details Data Segment of the Guarantee Issuance Cancel request. This section defaults the advices maintained for the product based on the advices maintained at the Product level.

The user can also suppress the Advice, if required.

3.3.4.1

Field	Description	Sample Values
Suppress Advice	**Toggle on**: Switch on the toggle if advice is suppressed. **Toggle off**: Switch off the toggle if suppress advice is not required for the amendments	
Advice Name	Read only field. Displays the advise name.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Read only field. Value be defaulted from Guarantee /SBLC advise.	
Party ID	Read only field. Value be defaulted from Guarantee /SBLC advise.	
Party Name	Read only field. Value be defaulted from Guarantee /SBLC advise.	
Free Format Text		
	Click plus icon to add new FFT code.	
FTT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click edit icon to edit any existing FFT code.	
Action	Click Edit icon to edit the FFT details. Click Delete icon to delete the FFT details.	
Instruction Details		
	Click plus icon to add new instruction code.	

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Field	Description	Sample Values
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the Instruction code selected.	
	Click edit icon to edit any existing Instruction code.	
Action	Click Edit icon to edit the instruction details. Click Delete icon to delete the instruction details.	

3.3.4.2 Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.
Overrides	Click to view overrides, if any.

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Field	Description
Customer Instructions	Click to view/ input the following • **Standard Instructions** – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • **Transaction Level Instructions** – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this place-holder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. The reject codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others. The user would be able to select a Reject code and give a Reject Description. Other users should be able to see the reject reason in remarks window throughout the process.

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Field	Description
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others
Hold	The details provided will be registered and status will be on hold.
Cancel	Cancels the details captured in the screen. The task will get deleted.
Save and Close	User will save the information provided and close the details captured. This option will not submit the request.
Back	On click **Back,** user navigates to previous step.
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

3.3.5 Additional Details

In the Additional details section, the user can verify/input/update the basic additional details data segment of the Guarantee/SBLC Cancellation request.

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Guarantee cancellation may have impact on the Charges & Commission section.

3.3.5.1 Limit and Collateral

The limits and collateral details are displayed as tile. The tiles displays a list of important fields with values.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number "to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Note

For Guarantee Advising MT 760, user can input the values, applicable if Advising bank confirms undertaking.

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3.3.5.2

nit & Collateral

Limit Details

ustomer ID	Linkage Type	Liability Number	Line Id/Linkage Ref No	Line Serial	Contribution %	Contribution Currency	Amount to Earmark	Limit Check Response	Response Message	Vi

No data to display.

ash Collateral Details

llateral Percentage *	Collateral Currency and amount	Exchange Rate
0.0 ⌄ ⌃	AED ▾ AED 10.00	1.0 ⌄ ⌃

+

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Respon:
1	AED	1003216615		10	1		VN

Deposit Linkage Details

☐	Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	View

No data to display.

age 1 (0 of 0 items) |< < 1 > >|

Save & Close   Close

Limit Details   ✕

Customer Id	Linkage Type *
032204 🔍	Facility ▾

Contribution % *	Liability Number *
100.0 ⌄ ⌃	032204 🔍

Contribution Currency	Line Id/Linkage Ref No *
AED	032204AED 🔍

Limit/Liability Currency	Limits Description
AED	

Limit Check Response	Amount to Earmark *
Available	AED 110.00

Expiry Date	Limit Available Amount
📅	AED 0.00

Response Message	ELCM Reference Number
Balance available of AED 99994260148:	

Verify   Save & Close   Close

Provide the Limit Details based on the description in the following table:

Field	Description	Sample Values
Plus Icon [+]	Click plus icon to add new Limit Details.	
Edit	Click edit link to edit the limit details.	

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Field	Description	Sample Values
Limit Details		
Click View link to view the limit details.		
Below fields are displayed on the Limit Details pop-up screen, if the user clicks View link.		
Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Linkage Type	Select the linkage type. Linkage type can be: ● Facility ● Liability ● By default Linkage Type is "Facility".	
Contribution%	System will default this to 100% and user can modify. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message. --- **Note** The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Liability Number	Click **Search** to search and select the Liability Number from the look-up.	
Contribution Currency	The guarantee currency will be defaulted in this field.	
Line ID/Linkage Ref No	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.	

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Field	Description	Sample Values
Limit/ Liability Currency	Limit Currency will be defaulted in this field.	
Limits Description	This field will display the description of the limits.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'. This field displays the value, if you click **Verify** button.	
Amount to Earmark	Amount to earmark will default based on the contribution %. User can change the value.	
Expiry Date	This field displays the date up to which the Line is valid	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. This field displays the value, if you click **Verify** button.	
Response Message	Detailed Response message. This field displays the value, if you click **Verify** button.	
ELCM Reference Number	This field displays the ELCM reference number.	
Below fields appear in the Limit Details grid along with the above fields.		
Line Serial	Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.	
Edit	Click the link to edit the Limit Details	
Delete icon	Click delete icon to delete the existing limit details.	

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Provide the collateral details based on the description provided in the following table:

Collateral Details	✕

Total Collateral Amount *

AED 10.00

Collateral Amount to be Collected *

AED 10.00

Sequence Number

1.0

Collateral Split % *

10.0

Collateral Contribution Amount *

AED 1.00

Settlement Account *

0912160013

Settlement Account Currency

AED

Exchange Rate

1.0

Contribution Amount in Account Currency

AED 1.00

Account Available Amount

AED 1,984,452.45

Response

VS

Response Message

The amount block can be performed as the account has sufficient balance

Verify

✔ Save & Close   **✕ Cancel**

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	System populates the Collateral % maintained in the Customer / Product for the counter party of the contract.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default.	
Exchange Rate	System populates the exchange rate maintained. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	

Click + Plus icon to view and add the collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Field	Description	Sample Values
Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	

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Field	Description	Sample Values
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Read only field. Settlement Account Currency is auto populated by the system.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Field	Description	Sample Values
Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.	

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Field	Description	Sample Values
Currency	The guarantee currency will get defaulted in this field.	
Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Account Balance Check Response	Response for account balance check is defaulted in this field.	
Delete Icon	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Deposit Linkage Details

In this section which the deposit linkage details is captured.

System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/ modification of existing Linkage by calling Back-office system (DDA) system directly.

Field	Description	Sample Values
Click + plus icon to add new deposit details.		
Customer Id	Customer ID is defaulted from the system. User can change the customer ID.	

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Field	Description	Sample Values
Deposit Account	Click **Search** to search and select the deposit account from the look-up. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage.	
Deposit Branch	Branch will be auto populated based on the Deposit account selection.	
Deposit Available Amount	Amount will be auto-populated based on the Deposit Account selection.	
Deposit Maturity Date	Maturity Date of deposit is displayed based on the Deposit Account selection.	
Exchange Rate	Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.	
Deposit Available in Transaction Currency	Deposit amount available should be displayed after exchange rate conversion, if applicable.	
Linkage Percentage%	Specify the value for linkage percentage.	
Linkage Amount (Transaction Currency):	System to default the transaction amount user can change the value. System validates the linking amount with available Deposit balance and should not allow to link more than the available amount.	

Below fields appear in the **Deposit Details** grid along with the above fields.

Field	Description	Sample Values
Deposit Currency	The currency will get defaulted in this field.	
Transaction Currency	The currency will get defaulted in this field from the underlying task.	
Delete Icon 🗑	Click minus icon to remove the existing Linked deposit details by selecting the Deposit.	
Edit Link	Click edit link to edit any existing deposit Details.	

3.3.5.3 Commission, Charges and Taxes Details

After Advices, click on Next button and on landing the additional tab, charges and tax if any will get defaulted from Back end simulation. If default charges are available under the

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product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Component	Rate	Mod. Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settl. Accnt	Amendable
AGUIR_COMM	1		AED	AED 0.25		⬭	⬭	Air Arabia	0322040001 🔍	Yes

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Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCANCHG			AED	AED 50.00		⬤	⬭	⬭	Air Arabia	0322040001

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Tax Details

Component	Type	Value Date	Ccy	Amount	Billing	Defer	Settl. Accnt

Save & Close   Close

3.3.5.4 Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Click the link to view the commission component.	
Rate	Defaults from product.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected.	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Enable the option, if charges/commissions has to be deferred and collected at any future step. This field is disabled, if 'Defer' toggle is enabled.	

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Field	Description	Sample Values
Waive	Enable the option to waive charges/commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be 'Applicant' by Default.	
Settlement Account	Details of the Settlement Account. User can change the Settlement Account, if required.	
Amendable	Displays if the field is amendable or not.	

3.3.5.5 Charge Details

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Read only field. Charge Component type.	
Tag Currency	Read only field. Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Read only field. Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Read only field. Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified	From the default value, if the rate is changed or the amount is changed, the same is updated in the modified amount field. User can edit the value, if required.	

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Field	Description	Sample Values
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM. The user can not select/de-select the check box if it is de-selected by default. .	
Defer	Charges can not be deferred further. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
Charge Party	Charge party will be applicant by default.	
Settlement Account	Details of the settlement account. User can change the Settlement Account, if required.	

3.3.5.6 Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system. Tax details are defaulted from the back-end system.

Following Tax Details will be displayed:

Field	Description	Sample Values
Component	Tax Component type.	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	

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Field	Description	Sample Values
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If tax are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Settlement Account	Details of the settlement account.	

3.3.6 Preview

The bank user can view a preview of the outgoing SWIFT message and advise simulated from back office.

The Preview section consists of following.

3.3.6.1 Preview – SWIFT and Advise

Based on the guarantee cancellation captured in the previous screen, the preview message simulated from the back office and the user can view the message.

Field	Description	Sample Values
Preview SWIFT Message		
Language	Read only field. English is set as default language for the preview	
Message Type	Select the message type.	

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Field	Description	Sample Values
Message Status	Read only field. Display the message status of draft message of guarantee details.	
Repair Reason	Read only field. Display the message repair reason of draft message of guarantee details.	
Preview Message	Display a preview of the draft message.	
Preview Mail Device		
Language	Read only field. English is set as default language for the preview	
Advice Type	Select the advice type.	
Message Status	Read only field. Display the message status of advice message of guarantee details.	
Repair Reason	Read only field. Display the message repair reason of advice message of guarantee details.	
Preview Message	Display a preview of the advice.	

3.3.6.2 Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.

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Field	Description
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.
Overrides	Click to view overrides, if any.
Customer Instructions	Click to view/ input the following ● **Standard Instructions** – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● **Transaction Level Instructions** – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.

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Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. The reject codes are: ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others. The user would be able to select a Reject code and give a Reject Description. Other users should be able to see the reject reason in remarks window throughout the process.
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others
Hold	The details provided will be registered and status will be on hold.
Cancel	Cancels the details captured in the screen. The task will get deleted.
Save and Close	User will save the information provided and close the details captured. This option will not submit the request.
Back	On click **Back,** user navigates to previous step.
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

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3.3.7 Settlement Details

As part of Data Enrichment, user can verify and enter the basic additional details available in the Islamic Guarantee Cancel.

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
AGLIR_COM1_LIQD	AED	Debit	0912160013	Waha Capital	AED	No	Yes
AGLIR_COMM_LIQD	AED	Debit	0912160013	Waha Capital	AED	No	Yes
AVL_SET_LCAMT	AED	Debit	0912160013	Waha Capital	AED	No	No
AVL_SET_LCAMTEQ	AED	Credit	0912160013	Waha Capital	AED	No	No
CLAIM_CUST_AMT	AED	Debit	0912160013	Waha Capital	AED	No	No
CLAIM_CUST_AMT_FX	AED	Debit	0912160013	Waha Capital	AED	No	No
CLAIM_SETTLE_AMT	AED	Credit	0912130011	Etisalat	AED	No	No
COLLAMT_OS	AED	Debit	0912160013	Waha Capital	AED	No	No
COLLAMT_OSEQ	AED	Credit	0912160013	Waha Capital	AED	No	No
COLLAMT_OSEQ_OBP	AED	Credit	0912160013	Waha Capital	AED	No	No

3.3.7.1   Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System displays the applicable netting indicator.	
Current Event	System displays the current event as Y or N.	

3.3.7.2   **Action Buttons**

Use action buttons based on the description in the following table:

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	Click the Documents icon to View/Upload the required documents.
	Application will display the mandatory and optional documents.
	The user can view and input/view application details simultaneously.
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.

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Field	Description
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.
Overrides	Click to view overrides, if any.
Customer Instructions	Click to view/ input the following ● **Standard Instructions** – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● **Transaction Level Instructions** – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.

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Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. The reject codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others. The user would be able to select a Reject code and give a Reject Description. Other users should be able to see the reject reason in remarks window throughout the process.
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others
Hold	The details provided will be registered and status will be on hold.
Cancel	Cancels the details captured in the screen. The task will get deleted.
Save and Close	User will save the information provided and close the details captured. This option will not submit the request.
Back	On click **Back,** user navigates to previous step.
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

3.3.8 Summary

User can review the summary of details in Data enrichment stage of Guarantee/SBLC Cancellation request.

Log in to Oracle Banking Trade Finance Process Management (OBTFPM) system to see the Summary tiles. The tiles must display a list of important fields with values. User can drill down from Summary Tiles into respective data segments.

Tiles Displayed in Summary

- Main - User can view the application details and Guarantee/Standby details.

- Guarantee Details - User can view the Guarantee Details.

- Additional Fields - User can view the user defined field details.

- Guarantee Preferences - User can view the Guarantee preference details. User can only view but cannot edit any of the details.

- Local Guarantee - User can view the local Guarantee Details.

- Advices - User can view the advices details.

- Commission, Charges, Taxes - User can view the charge details.

- Preview Message - User can view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.

- Limits and Collaterals - User can view the captured details of limits and collateral. User can only view but cannot edit any of the details.

- Party Details - User can view the party details like beneficiary, advising bank etc.

- Accounting Details - User can view the accounting entries generated by back office system.

Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

3.3.8.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instructions	Click to view/ input the following ● **Standard Instructions** – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● **Transaction Level Instructions** – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	

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Field	Description	Sample Values
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. The user would be able to select a Reject code and give a Reject Description Other users should be able to see the reject reason in remarks window throughout the process.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes. • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Scrutiny Stage Inputs.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	

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Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Guarantee Issuance. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	

3.4 Multi Level Authorization

The Approval user can view the summary of details updated in multilevel approval stage of a Islamic Guarantee Issuance Cancellation request.

As an approver user, log in into OBTFPM application the Guarantee/SBLC Cancellation task should be available in the Free Task. The user can acquire the task.

Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

3.4.1 Re-Key Authorization

If rekey authorization set up is available, then on clicking Acquire, the task will land on the rekey authorization screen otherwise the task will land on the summary screen.

The user can view the details of multilevel approval stage of Guarantee Cancellation request in the Summary screen.

Approval Rekey

| IN View Signature | IN Documents | Remarks |

Currency

AED ▼ ✓

Contract Amount

AED ▼ AED 100.00 ✓

Refer Close Proceed

Click Next to view the Summary

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3.4.1.1 Approval Summary

3.4.1.2 Tiles Displayed in Summary:

- Main - User can view the details about application details and LC details.

- Guarantee Details - User can view the Guarantee Details

- Additional Fields - User can view the UDF maintained.

- Advices - User can view the advices details.

- Commission, Charges and Taxes - User can view the charge details.

- Preview Message - User can view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.

- Limits and Collaterals - User can view the captured details of limits and collateral. User can only view but cannot edit any of the details.

- Party Details - User can view the party details like beneficiary, advising bank etc., if required.

- Accounting Details - User can view the accounting entries.

Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- Exception (Approval) - User can view the exception (Approval) details.

3.4.1.3 Documents and Checklist: Documents:

The approver user can view the uploaded documents and verify the same.

Checklist: The approver user can verify the uploaded documents.

Remarks: The approver user can view the remarks captured during various stages.

3.4.1.4   Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.
Overrides	Click to view overrides, if any.
Customer Instruction	Click to view/ input the following ● **Standard Instructions** – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● **Transaction Level Instructions** – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.

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Field	Description
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. The user would be able to select a Reject code and give a Reject Description Other users should be able to see the reject reason in remarks window throughout the process.
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes. • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.
Cancel	Cancels the Guarantee cancellation Registration stage inputs and system should clear the details captured in the screen. The task will get deleted.
Save and Close	Save the information provided and displays the task in you queue for working later. This option will not submit the request
Back	On click **Back,** user navigates to previous step.
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.

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